

## **UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office**

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APPLICATION NO. **FILING DATE** FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 09/188,417 11/09/98 HARARI ŗ. HARI ... 006884 **EXAMINER** 120227 MM92/1011 MAJESTIC PARSONS STEBERT & HSUE PHAN.T SUITE 1100 **ART UNIT** PAPER NUMBER FOUR EMBARCADERO CENTER SAN FRANCISCO CA 94111-4106 2818

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 



## Office Action Summary

Application No. 09/188,417

Applicant(s)

HARARI ET AL.

Examiner

**TRONG PHAN** 

Group Art Unit 2818



| <b>_</b>  |  |
|---|--|
| Responsive to communication(s) filed on 11/9/98   | ·  |
| ☐ This action is <b>FINAL</b> .   |  |
| Since this application is in condition for allowance except<br>in accordance with the practice under Ex parte Quayle, 1 |  |
|   | et to expire <u>three</u> month(s), or thirty days, whichever ure to respond within the period for response will cause the ensions of time may be obtained under the provisions of |
| Disposition of Claims   |  |
|   | is/are pending in the application.   |
| Of the above, claim(s) 1-62 have been canceled  | is/are withdrawn from consideration.   |
| Claim(s)  | is/are allowed.  |
|   |  |
| ☐ Claim(s)  |  |
|   | are subject to restriction or election requirement.  |
| Application Papers  |  |
|   | wing Review, PTO-948.  |
| ☐ The drawing(s) filed on is/are ob   | · ·  |
| ☐ The proposed drawing correction, filed on   |  |
| ☐ The specification is objected to by the Examiner.   |  |
| $\hfill\Box$ The oath or declaration is objected to by the Examine  | ır.  |
| Priority under 35 U.S.C. § 119  |  |
| Acknowledgement is made of a claim for foreign prior  | rity under 35 U.S.C. § 119(a)-(d).   |
| ☐ All ☐ Some* ☐ None of the CERTIFIED copie   | es of the priority documents have been   |
| received.   |  |
| received in Application No. (Series Code/Serial   |  |
| received in this national stage application from  | the International Bureau (PCT Rule 17.2(a)).   |
| *Certified copies not received:   |  |
| Acknowledgement is made of a claim for domestic pr  | iority under 35 U.S.C. § 119(e).   |
| Attachment(s)   |  |
| □ Notice of References Cited, PTO-892   |  |
| ☑ Information Disclosure Statement(s), PTO-1449, Pape   | er No(s)2  |
| ☐ Interview Summary, PTO-413  | 2.040  |
|   | J-340  |
| Trouble of informativations application, 170-102  | ·  |
| •   |  |
| SEE OFFICE ACTION O   | ON THE FOLLOWING PAGES   |
|   |  |

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## Specification

A substitute specification excluding claims is required pursuant to 37
 CFR 1.125(a) because there are so many lengthy proposed changes added to the original specification and the drawings.

A substitute specification filed under 37 CFR 1.125(a) must only contain subject matter from the original specification and any previously entered amendment under 37 CFR 1.121. If the substitute specification contains additional subject matter not of record, the substitute specification must be filed under 37 CFR 1.125(b) and must be accompanied by: 1) a statement that the substitute specification contains no new matter; and 2) a marked-up copy showing the amendments to be made via the substitute specification relative to the specification at the time the substitute specification is filed.

## **Double Patenting**

2. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process ... may obtain a patent therefor ..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957); and *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the conflicting claims so they are no longer

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coextensive in scope. The filing of a terminal disclaimer <u>cannot</u> overcome a double patenting rejection based upon 35 U.S.C. 101.

- 3. Claims 63-68 are rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 1-18 of prior U.S. Patent No. 5,687,124. This is a double patenting rejection.
- 4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a non-statutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claim 69 is rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-18 of U.S. Patent No. 5,687,124. Although the conflicting claims are not identical, they are not patentably distinct from each other because rearranging and rewording claim 69 of the present application would not make any patentably distinct from claims 1-18 of U.S. Patent No. 5,687,124.

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6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Primary Examiner Trong Phan whose telephone number is (703) 308-4870.

TRONG PHAN
PRIMARY EXAMINER

October 5, 2000